

PATENT

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JUL 18 2001

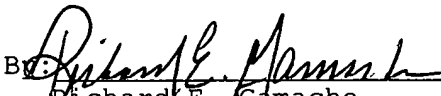
Technology Center 2100

In re application : Radia J. Perlman
Application No. : 09/826,592
Filed : April 5, 2001
For : SYSTEM AND METHOD FOR SHORTENING CERTIFICATE
CHAINS
Examiner : Unassigned
Attorney's Docket : SUNM-049XX

Group Art Unit: 2131

I hereby certify that this correspondence is being deposited with the
United States Postal Service as first class mail in an envelope
addressed to: Commissioner for Patents, Washington, D.C. 20231 on

7/11/01

By: 
Richard E. Gamache
Registration No. 39,196
Attorney for Applicant

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

It is desired to cite for the record in this application the
enclosed references listed on the attached copy of PTO Form #1449. The
paragraph(s) marked below are applicable to this Information Disclosure
Statement.

- [] (1) Pursuant to 37 C.F.R. § 1.97(b)(1) and (2), the attached
Information Disclosure Statement is being filed within three
months of the filing date of the above identified national
application or within three months of the date of entry of the
national stage as set forth in 37 C.F.R. § 1.491 of the above
identified application. Accordingly, applicant(s) believes that
no fee or statement under 37 C.F.R. § 1.97(e) is required.

- [X] (2) Pursuant to 37 C.F.R. § 1.97(b)(3), applicant(s) believes the attached Information Disclosure Statement is being filed before the mailing date of a first Office action on the merits. Accordingly, applicant(s) believes that no fee or statement under 37 C.F.R. § 1.97(e) is required.
- [] (3) Pursuant to 37 C.F.R. § 1.97(b)(4), applicant(s) believes the attached Information Disclosure Statement is being filed before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114. Accordingly, applicant(s) believes that no fee or statement under 37 C.F.R. § 1.97(e) is required.
- [] (4) Pursuant to 37 C.F.R. § 1.97(c), the attached Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by:
- [] a statement under 37 CFR § 1.97(e); or
- [] the fee set forth in § 1.17(p).

PETITION UNDER 37 CFR § 1.97(d)

- [] (5) Pursuant to 37 CFR § 1.97(d), applicant(s) hereby petitions the Commissioner to consider the attached Information Disclosure Statement which is being filed on or before payment of the issue fee. This petition is accompanied by a statement under 37 C.F.R. § 1.97(e) and the petition fee set forth in 37 C.F.R. § 1.17(i).

STATEMENT UNDER 37 C.F.R. § 1.97(e)(1)

- [] (6) The undersigned hereby states that each item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

STATEMENT UNDER 37 C.F.R. § 1.97(e)(2)

- [] (7) The undersigned hereby states that no item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the attached Information Disclosure

Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

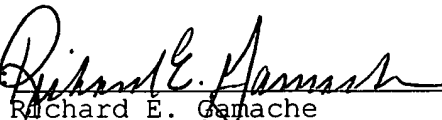
The filing of this Information Disclosure Statement is not a representation by the undersigned as to personal knowledge of the contents of every word or phrase of the material enclosed or that reliance on other suitably trained professionals has not been made.

If a search report of a searching agency is enclosed identifying the nature of the relevance of each document, such a designation is deemed to satisfy 37 C.F.R. § 1.98(a)(3) even if in a foreign language because the codes are the same in all languages. However, applicant(s) does not necessarily adopt the position reflected by that report.

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 23-0804. Triplicate copies of this letter are enclosed.

Respectfully submitted,

RADIA J. PERLMAN

By: 
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Enclosure

Date: July 12, 2001

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| Form PTO-1449 (REV. 07/01) | | | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | | | ATTY. DOCKET NO. SUNM-049XX | | APPLICATION NO. 09/826,592 | |
| INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i> | | | | | | | | <div style="border: 1px solid black; padding: 5px; display: inline-block;"> RECEIVED JUL 18 2001 Technology Center 2100 </div> | | | |
|  | | | | | | | | APPLICANT: Radia J. Perlman | | FILING DATE April 5, 2001 | |
| U.S. PATENT DOCUMENTS | | | | | | | | GROUP 2131 | | Filing Date: JUL 18 2001 | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE* | | | | |
| | | 6,230,266 B1 | 5/8/01 | Perlman et al. | 713 | 158 | | | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | | | | | |
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| OTHER DOCUMENTS <i>(including Author, Title, Date, Pertinent Pages, etc.)</i> | | | | | | | | | | | |
| | | Network Working Group, Standards Track, Request for Comments 2065, RFC 2065, <u>Domain Name System Security Extensions</u> , D. Eastlake, et al, January 1997, pp. 1-36 | | | | | | | | | |
| | | Network Working Group, Standards Track, Request for Comments 2459, RFC 2469, <u>Internet X.509 Public Key Infrastructure Certificate and CRL Profile</u> , R. Housley, et al, January 1999, pp. 1-112 | | | | | | | | | |
| | | Network Working Group, Request for Comments 1507, RFC 1507, <u>DASS Distributed Authentication Security Service</u> , C. Kaufman, September 1993, pp. 1-103 | | | | | | | | | |
| | | Network Working Group, Request for Comments 1421, Obsoletes 1113, <u>Privacy Enhancement for Internet Electronic Mail: Part I: Message Encryption and Authentication Procedures</u> , J. Linn, RFC 1421, February 1993, pp. 1-37 | | | | | | | | | |
| | | Network Working Group, Request for Comments 1422, Obsoletes 1114, <u>Privacy Enhancement for Internet Electronic Mail: Part II: Certificate-Based Key Management</u> , S. Kent, RFC 1422, February 1993, pp. 1-28 | | | | | | | | | |
| EXAMINER | | | | | DATE CONSIDERED | | | | | | |
| *EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | | | | | |

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